

# Waves with constant phase velocity in relativistic plasmas

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# Overview

- Introduction
- Cold plasma dynamics - Basic equations
- Circular polarization
- Linear polarization:
  - Small plasma density
  - Critical plasma density
  - Intermediate plasma density

# Electromagnetic waves in plasmas

## Relativistic treatment:

- Relativistic particle motion in an electromagnetic wave  
(Landau, Lifschitz; Sarachik, Schappert)
- Relativistic self-focusing in weak relativistic plasma  
(Max, Arons, Langdon 1974)
- Particle-in-cell simulations  
(Pukhov 1999)
- Selfconsistent waves of constant phase velocity in plasmas  
(Alkheizer, Polovin 1956; Kaw, Dawson 1970)

# Goal

Analytical investigation of electromagnetic **plane waves** with a **constant phase velocity** in a homogen **cold relativistic plasmas**.

→ dispersion relation, trajectory; polarization, density

Assumptions:

- $\phi = \omega t - kx = t - \frac{1}{v_{\text{ph}}}x, \quad f(\mathbf{x}, t) = f(\phi)$
- cold plasma
- ion motion is negligible

Akhiezer, Polovin 1956

Kaw, Dawson 1970

...

# Relativistic cold plasma fluid dynamics

- Charge- and currentdensity:

$$\rho = -e(n_e - Zn_i)$$
$$\mathbf{j} = -e(n_e \mathbf{v}_e - \cancel{Zn_i \mathbf{v}_i})$$

- Maxwell equation's:

$$\nabla \times \mathbf{B} - \partial_t \mathbf{E} = -\omega_p^2 n \mathbf{v}$$
$$\nabla \times \mathbf{E} + \partial_t \mathbf{B} = 0$$
$$\nabla \cdot \mathbf{E} = \omega_p^2 (1 - n)$$
$$\nabla \cdot \mathbf{B} = 0$$

$$n = \frac{n_e}{n_0}$$

$$\omega_p^2 = \frac{4\pi e^2 n_0}{m}$$

- Lorentz equation:

$$\frac{d}{dt}(\gamma \mathbf{v}) = -(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

# Plane waves of constant phase velocity

$$\phi = t - \frac{1}{v_{\text{ph}}}x, \quad f(\mathbf{x}, t) = f(\phi)$$

- Propagation in x-direction:

$$\mathbf{E} = (E_x, E_y, E_z), \quad \mathbf{B} = (0, B_y, B_z)$$

- Substitution of derivations:

$$\begin{aligned} \partial_t &\longrightarrow \frac{d}{d\phi} \longrightarrow \frac{v_{\text{ph}}}{v_{\text{ph}}\gamma - p_x} \frac{d}{d\tau} \\ \partial_x &\longrightarrow -\frac{1}{v_{\text{ph}}} \frac{d}{d\phi} \longrightarrow \frac{-1}{v_{\text{ph}}\gamma - p_x} \frac{d}{d\tau} \end{aligned}$$

proper time

Akhiezer, Polovin 1956

Kaw, Dawson 1970

...

# Simplified set of equations

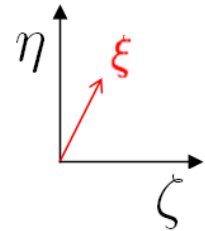
Lagrange-coordinates:  $\xi = x - x_0$ ,  $\eta = y - y_0$ ,  $\zeta = z - z_0$

Set of nonlinear **ordinary** differentialequations:

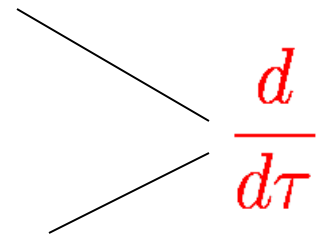
$$\ddot{\xi} + \omega_p^2 \gamma \xi = -\sigma^2 \eta p_y - \sigma^2 \zeta p_z$$

$$\ddot{\eta} + \sigma^2 v_{ph} \gamma \eta = p_x \eta$$

$$\ddot{\zeta} + \sigma^2 v_{ph} \gamma \zeta = p_x \zeta$$



„just“ **coupled relativistic harmonic oscillators!**



$$\sigma^2 = \frac{\omega_p^2 v_{ph}}{v_{ph}^2 - 1}$$

$$p_x = \dot{\xi}, \dots$$

$$\gamma = \sqrt{1 + p_x^2 + p_y^2 + p_z^2}$$

# Simplified set of equations

$$E_x = \omega_p^2 \xi$$

$$E_y = v_{\text{ph}} B_z, \quad B_z = \sigma^2 \eta$$

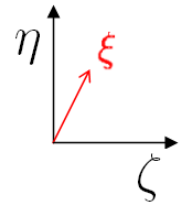
$$E_z = -v_{\text{ph}} B_y, \quad B_y = -\sigma^2 \zeta$$

$$n = \frac{v_{\text{ph}}}{v_{\text{ph}} - v_x}$$



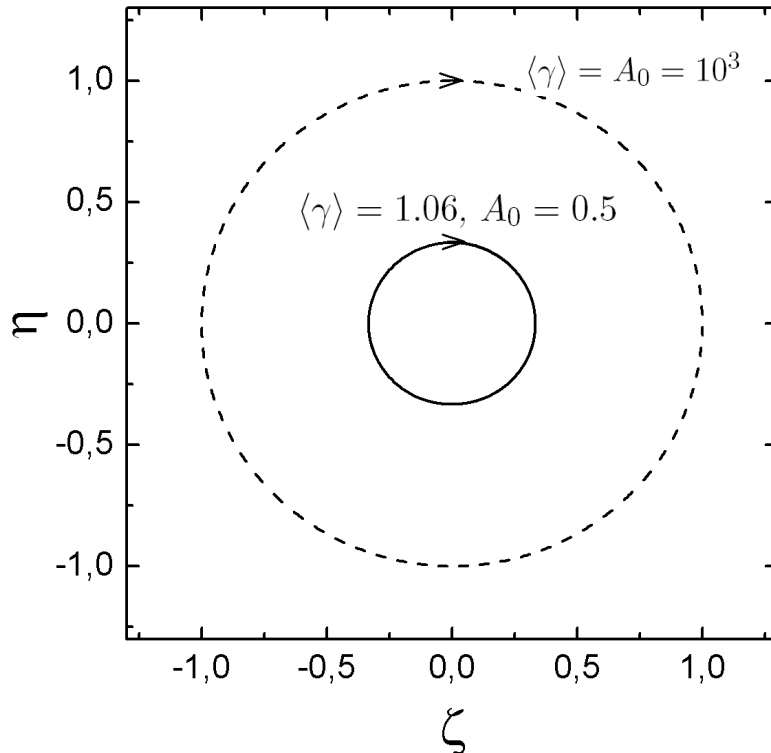
# Relativistic vacuum solutions

Relativistic particle motion in an electromagnetic wave.



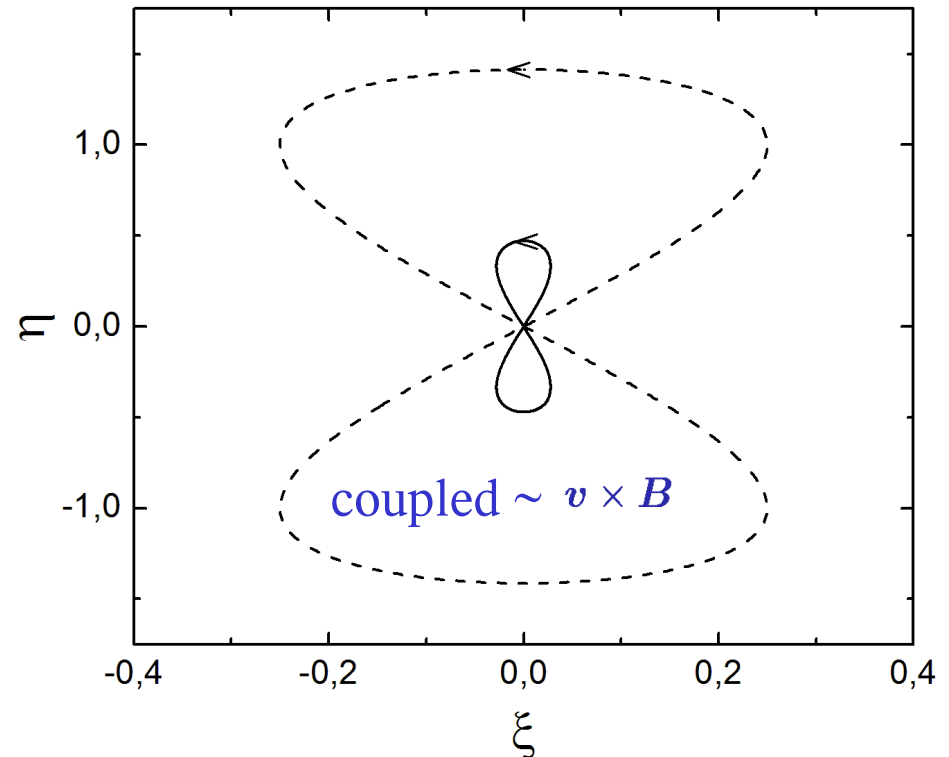
- Circular polarization:  $\xi = 0$

$$\zeta = \eta_0 \sin(\phi), \quad \eta = \pm \eta_0 \cos(\phi)$$



- Linear polarization:  $\zeta = 0$

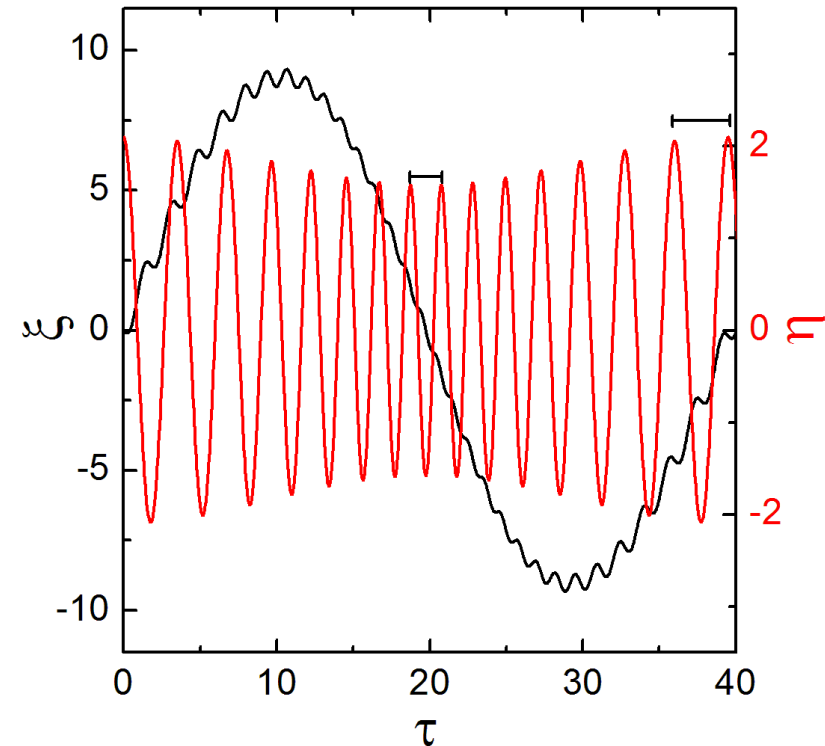
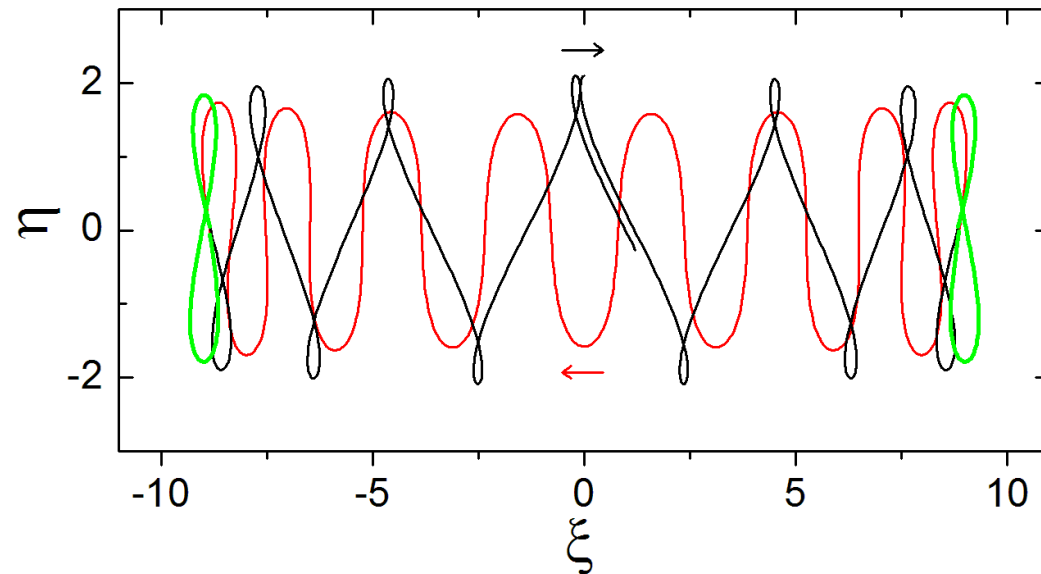
$$\xi = -\frac{1}{8} \eta_0^2 \sin(2\phi), \quad \eta = \eta_0 \cos(\phi)$$



# Relativistic plasma solutions

Relativistic plasma: Electromagnetic wave **couples nonlinear** with electrostatic oscillation.

- Selfmodulation of amplitude and phase
- i.g. → Nonperiodic solution



Linear polarization  $\omega_p = 0.1$ ,  $p_{x0} = -0.6$ ,  $\eta_0 = 2.1$

# Periodic solutions

## Generalized vacuum trajectories

- Closed particle trajectories
- Only one frequency (in rest frame:  $\Omega = \langle \gamma \rangle \omega$  )
- No selfmodulation, but still **nonlinear coupling**
- One initial condition has to be adjusted

→ Analytic investigation is possible

# Circular polarization

$$\xi = 0$$

exact solution

$$\ddot{\eta} + \sigma^2 v_{\text{ph}} \gamma \eta = 0$$

$$\eta = \pm \eta_0 \cos(\phi_\tau)$$

$$\phi_\tau = \Omega \tau$$

$$\ddot{\zeta} + \sigma^2 v_{\text{ph}} \gamma \zeta = 0$$

$$\zeta = \eta_0 \sin(\phi_\tau)$$

- Equal to vacuum solution
- Circular electron current, no density bunching

Phasevelocity:

$$v_{\text{ph}} = \frac{1}{\sqrt{1 - \frac{\omega_{\text{p}}^2}{\langle \gamma \rangle}}}$$

$$\Leftrightarrow v_{\text{ph}} = \frac{\omega}{k}$$

Dispersion relation:

$$k^2 = \omega^2 - \frac{\omega_{\text{p}}^2}{\langle \gamma \rangle}$$

$$\gamma = \langle \gamma \rangle = \frac{1}{\sqrt{1 - \eta_0^2}}$$

Akhiezer, Polovin

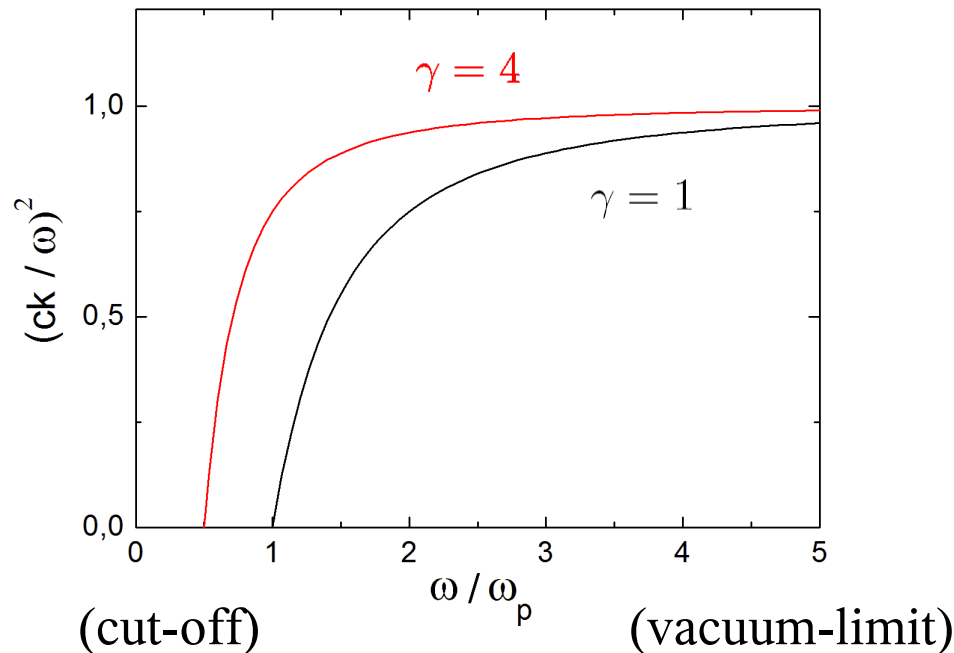
# Circular polarization

Dispersion relation:

$$k^2 = \omega^2 - \frac{\omega_p^2}{\langle \gamma \rangle}$$

nonrelativistic

$$k^2 = \omega^2 - \omega_p^2$$



$$\frac{\omega_p^2}{\langle \gamma \rangle} = \frac{4\pi e^2 n_0}{\langle \gamma \rangle m} = \omega_{p \text{ eff.}}^2$$

- relativistic mass increase
- effective plasma frequency

$$\omega \rightarrow \omega_{p \text{ eff.}}$$

$$\omega \gg \omega_p$$

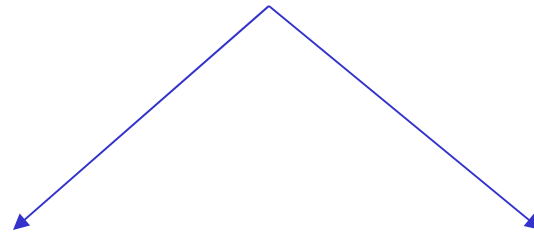
# Linear polarization

$$\zeta = 0$$

$$\ddot{\xi} + \omega_p^2 \gamma \xi = -\sigma^2 \eta p_y$$

$$\ddot{\eta} + \sigma^2 (v_{ph} \gamma - p_x) \eta = 0$$

No exact solution ...



Small plasma density

Critical plasma density

# Small plasma density

Small density is perturbation of vacuum solution.

$$\zeta = 0$$

$$\ddot{\xi} + \omega_p^2 \gamma \xi = -\sigma^2 \eta p_y$$

$$\ddot{\eta} + \sigma^2 (v_{ph} \gamma - p_x) \eta = 0$$

No exact solution - **expansion** in  $\omega_p^2 \sim n_0$

$$\xi = \hat{\eta} \cos(\phi_\tau) + \omega_p^2 \xi^{(1)} + \mathcal{O}(\omega_p^4)$$

$$\eta = -\frac{1}{8} \hat{\eta}^2 \sin(2\phi_\tau) + \omega_p^2 \eta^{(1)} + \mathcal{O}(\omega_p^4)$$

# Small plasma density

No exact solution - **expansion** in  $\omega_p^2 \sim n_0 \longrightarrow$

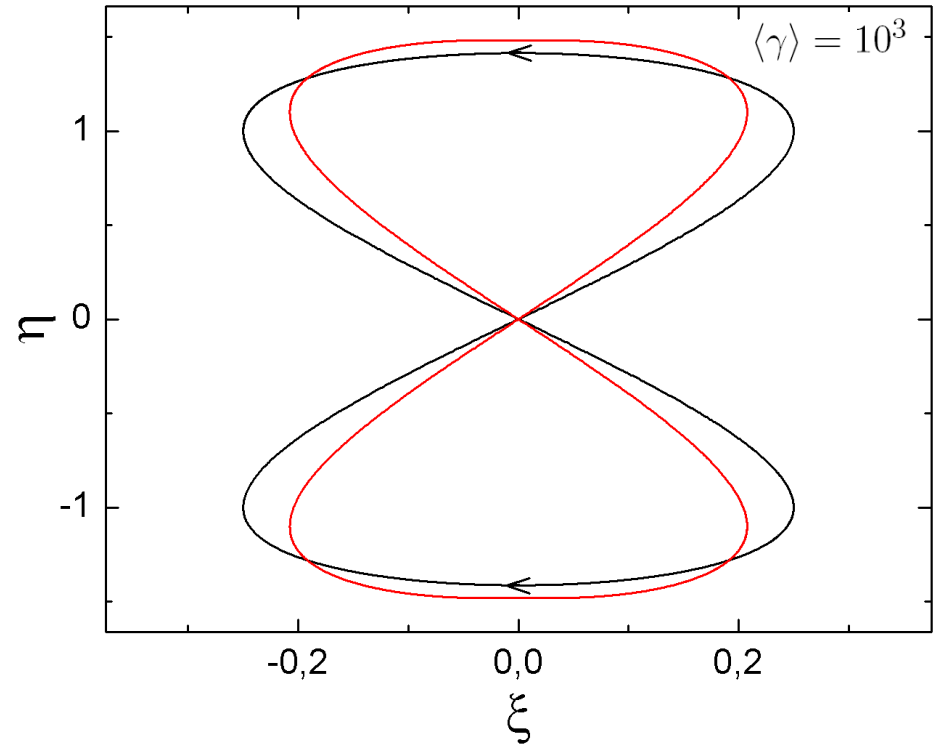
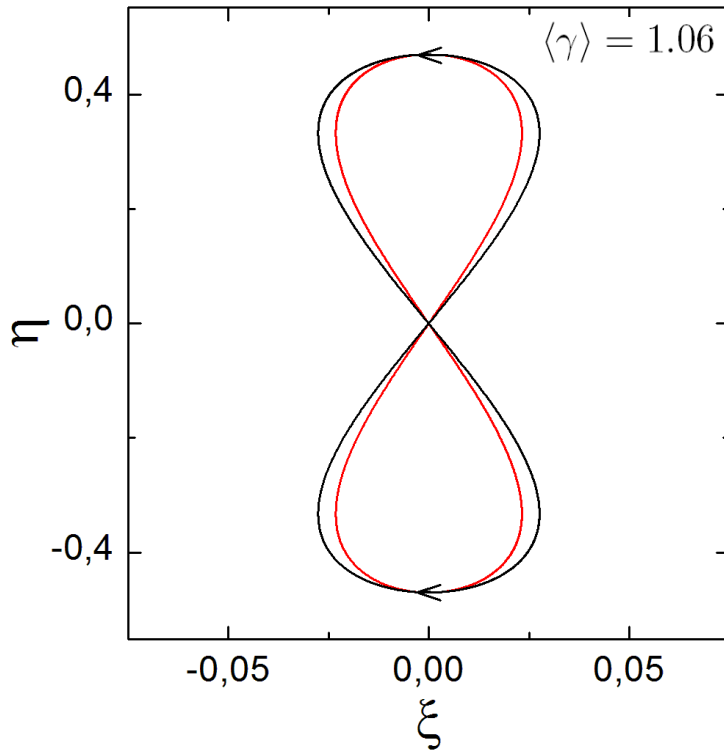
$$\eta = \hat{\eta} \left( 1 + \frac{\omega_p^2}{\langle \gamma \rangle} \frac{1}{64} \hat{\eta}^2 \right) \cos(\phi_\tau) - \frac{\omega_p^2}{\langle \gamma \rangle} \frac{3}{256} \hat{\eta}^3 \cos(3\phi_\tau) + \mathcal{O}(\omega_p^4)$$
$$\xi = -\frac{1}{8} \hat{\eta}^2 \left( 1 - \frac{\omega_p^2}{\langle \gamma \rangle} \frac{1}{4} \left( 1 - \frac{13}{32} \hat{\eta}^2 \right) \right) \sin(2\phi_\tau) + \frac{\omega_p^2}{\langle \gamma \rangle} \frac{5}{256} \frac{1}{8} \hat{\eta}^4 \sin(4\phi_\tau) + \mathcal{O}(\omega_p^4)$$

$$\langle \gamma \rangle = \frac{1}{\sqrt{1 - \frac{1}{2} \hat{\eta}^2}}$$

- Higher harmonics (odd or even)
- Modified amplitude
- Density bunching  $n = \frac{v_{\text{ph}}}{v_{\text{ph}} - v_x} \neq \text{const.}$



# Trajectory



$$\eta = \hat{\eta} \left( 1 + \frac{\omega_p^2}{\langle \gamma \rangle} \frac{1}{64} \hat{\eta}^2 \right) \cos(\phi_\tau) - \frac{\omega_p^2}{\langle \gamma \rangle} \frac{3}{256} \hat{\eta}^3 \cos(3\phi_\tau) + \mathcal{O}(\omega_p^4)$$

$$\xi = -\frac{1}{8} \hat{\eta}^2 \left( 1 - \frac{\omega_p^2}{\langle \gamma \rangle} \frac{1}{4} \left( 1 - \frac{13}{32} \hat{\eta}^2 \right) \right) \sin(2\phi_\tau) + \frac{\omega_p^2}{\langle \gamma \rangle} \frac{5}{256} \frac{1}{8} \hat{\eta}^4 \sin(4\phi_\tau) + \mathcal{O}(\omega_p^4)$$

# Dispersion relation

Solution  $\mathcal{O}(\omega_p^2)$   $\longrightarrow$  Dispersion relation  $\mathcal{O}(\omega_p^4)$

Phasevelocity:

$$v_{\text{ph}} = 1 + \frac{1}{2} \frac{\omega_p^2}{\langle \gamma \rangle} + \frac{3}{8} \left( 1 - \frac{1}{8} \hat{\eta}^2 \right) \frac{\omega_p^4}{\langle \gamma \rangle^2} + \mathcal{O}(\omega_p^6)$$

Dispersion relation:

$$k^2 = \omega^2 \left( 1 - \frac{\omega_p^2}{\langle \gamma \rangle} + \underbrace{\frac{3}{32} \hat{\eta}^2 \frac{\omega_p^4}{\langle \gamma \rangle^2}} \right) + \mathcal{O}(\omega_p^6)$$

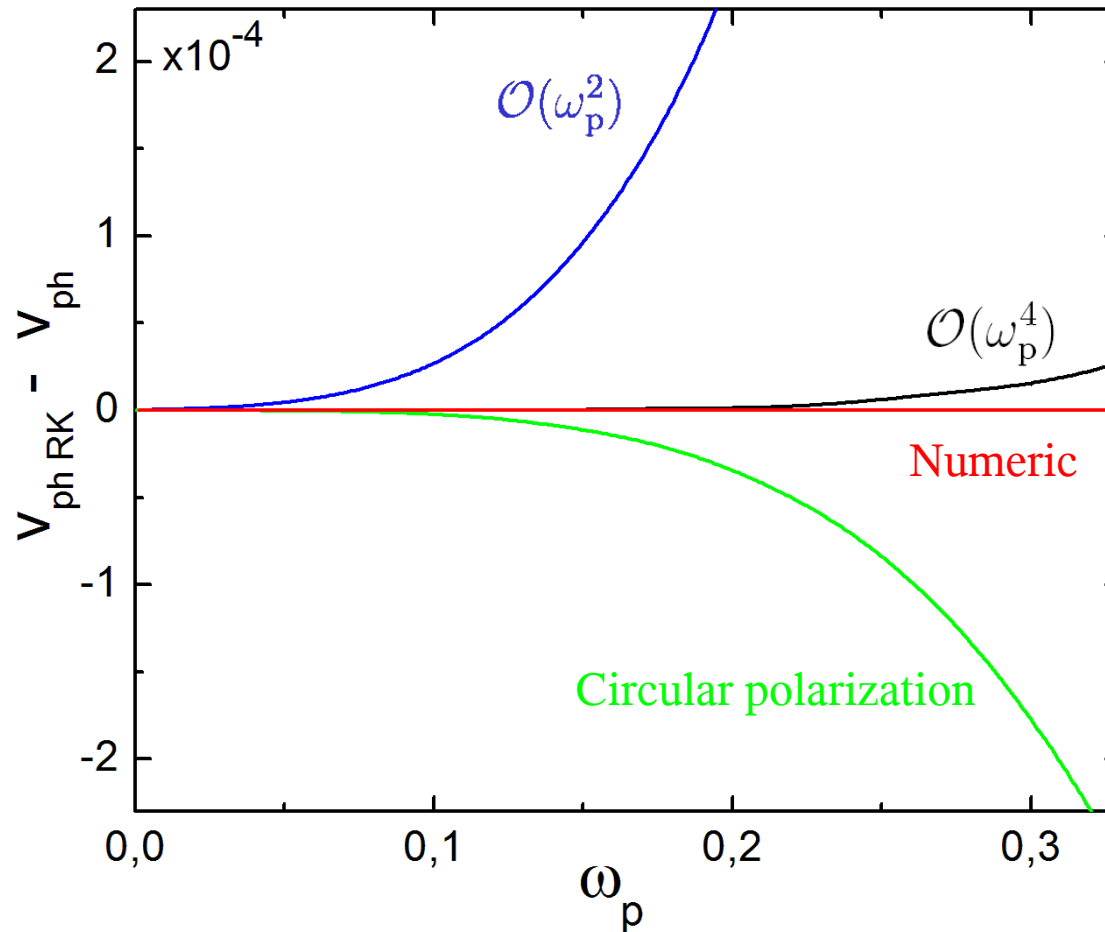
Difference between **linear** and **circular polarization**.

$$v_{\text{ph}} = \frac{1}{\sqrt{1 - \frac{\omega_p^2}{\langle \gamma \rangle}}} = 1 + \frac{1}{2} \frac{\omega_p^2}{\langle \gamma \rangle} + \frac{3}{8} \frac{\omega_p^4}{\langle \gamma \rangle^2} + \mathcal{O}(\omega_p^6)$$

$$k^2 = \omega^2 \left( 1 - \frac{\omega_p^2}{\langle \gamma \rangle} \right) \quad \text{circular polarization}$$

# Runge-Kutta-Integration

## Phasevelocity



# Critical plasma density

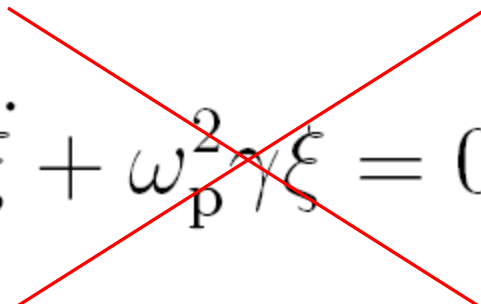
- Circular polarization:  $k^2 = \omega^2 - \frac{\omega_p^2}{\langle \gamma \rangle}$

Critical density  $\frac{\omega_p^2}{\langle \gamma \rangle} \rightarrow \omega^2 : k \rightarrow 0$

- Linear polarization:

generalization of 2d eight-like solution  $\neq$  1d electrostatic oscillation:

  
 $\xi, \eta$

$$\ddot{\xi} + \omega_p^2 \gamma \xi = 0$$


# Linear polarization

$$\begin{aligned}\ddot{\xi} + \omega_p^2 \gamma \xi &= -\frac{\omega_p^2 k}{1 - k^2} \eta p_y \\ \ddot{\eta} + \frac{\omega_p^2}{1 - k^2} \gamma \eta &= \frac{\omega_p^2 k}{1 - k^2} \eta p_x\end{aligned}$$

No exact solution - expansion in  $k = \frac{1}{v_{ph}}$  ( $k \rightarrow 0$ )

# Linear polarization

$$\begin{aligned}\ddot{\xi} + \omega_p^2 \gamma \xi &= -\frac{\omega_p^2 k}{1 - k^2} \eta p_y \\ \ddot{\eta} + \frac{\omega_p^2}{1 - k^2} \gamma \eta &= \frac{\omega_p^2 k}{1 - k^2} \eta p_x\end{aligned}$$

No exact solution - expansion in  $k = \frac{1}{v_{ph}}$  ( $k \rightarrow 0$ )

Lowest Order:

$$\ddot{\xi} + \omega_p^2 \gamma \xi = 0$$

$$\ddot{\eta} + \omega_p^2 \gamma \eta = 0$$



$$\xi = \eta_0 \sin(\phi_\tau) + \mathcal{O}(k)$$

$$\eta = \pm \eta_0 \cos(\phi_\tau) + \mathcal{O}(k)$$

Similar equations as for circular polarization.

Circular motion in longitudinal and transverse direction.

Two coupled electrostatic oscillations.

$$B = \mathcal{O}(k)$$

# Linear polarization

No exact solution - expansion in  $k = \frac{1}{v_{\text{ph}}}$

Higher Orders:

$$\xi = \xi^{(0)} + k\xi^{(1)} + k^2\xi^{(2)} + \mathcal{O}(k^3)$$

$$\eta = \eta^{(0)} + k\eta^{(1)} + k^2\eta^{(2)} + \mathcal{O}(k^3)$$

$$\omega_p^2 = \omega_p^2{}^{(0)} + k\omega_p^2{}^{(1)} + k^2\omega_p^2{}^{(2)} + \mathcal{O}(k^3)$$

- 1.
2. (Necessary for non-trivial dispersion relation)

$$\frac{\omega_p^2}{\langle \gamma \rangle} = 1 - k^2 = 1 + \mathcal{O}(k^2)$$

circular polarization

# Linear polarization

No exact solution - expansion in  $k = \frac{1}{v_{\text{ph}}}$   $\longrightarrow$

$$\xi = \left( \hat{\eta} + k^2 \frac{8\hat{\eta}^6 - 19\hat{\eta}^4 + 99\hat{\eta}^2 - 144}{16\hat{\eta}(3 - \hat{\eta}^2)^2} \right) \sin(\phi_\tau) - k \frac{\hat{\eta}^2}{2(3 - \hat{\eta}^2)} \sin(2\phi_\tau) - k^2 \frac{9\hat{\eta}(1 - \hat{\eta}^2)}{16(3 - \hat{\eta}^2)^2} \sin(3\phi_\tau) + \mathcal{O}(k^3)$$

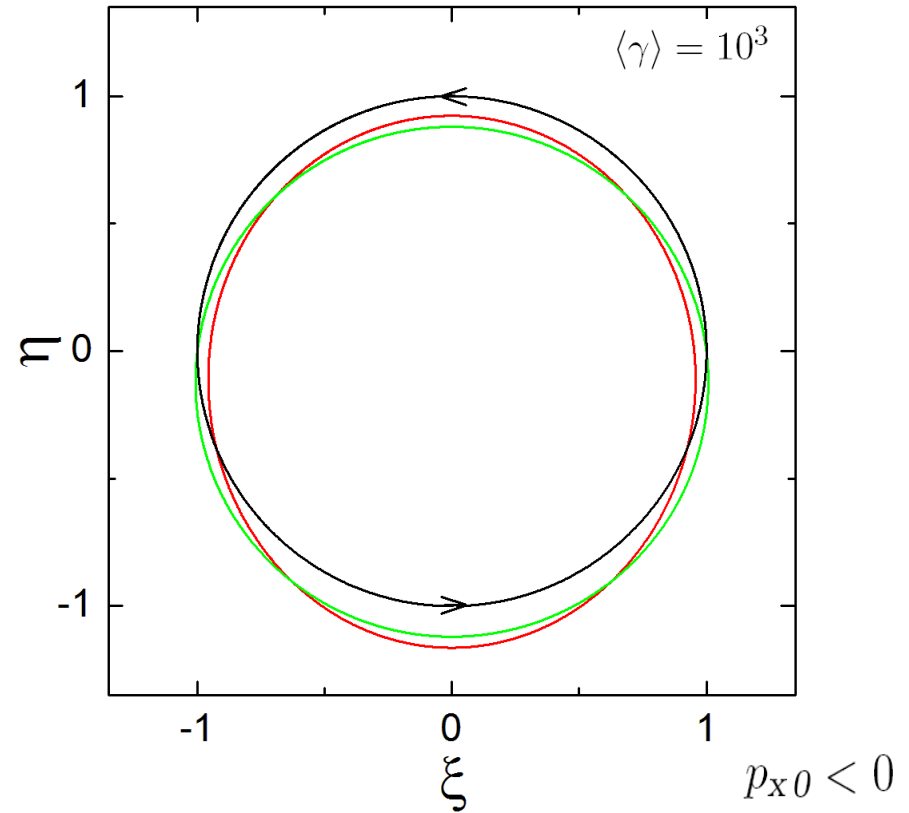
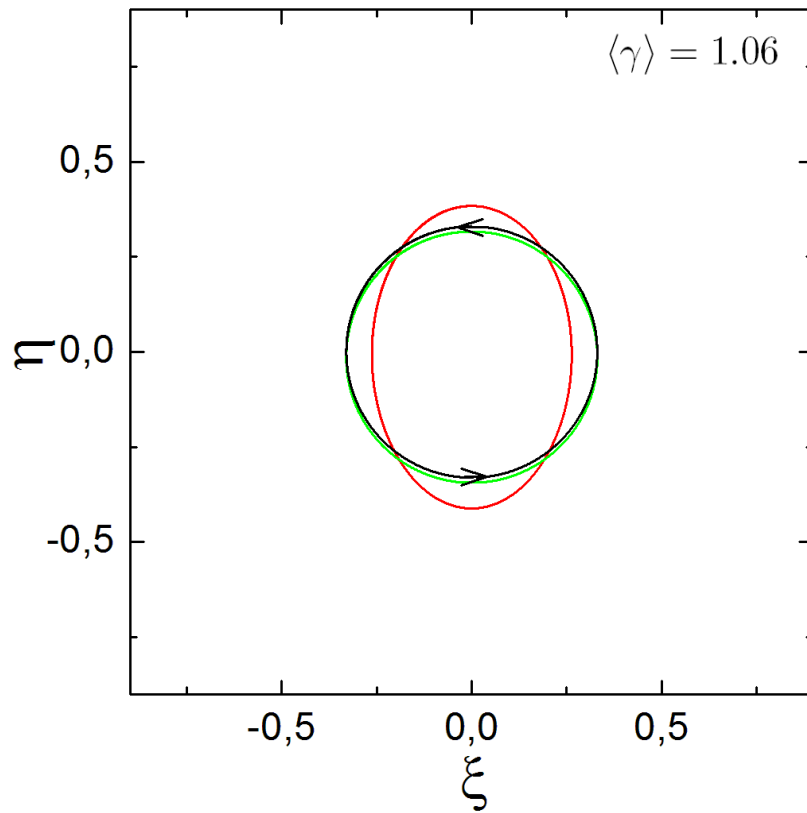
$$\eta = \pm \left( \left( \hat{\eta} + k^2 \frac{3(\hat{\eta}^4 - 33\hat{\eta}^2 + 48)}{16\hat{\eta}(3 - \hat{\eta}^2)^2} \right) \cos(\phi_\tau) - k \frac{\hat{\eta}^2}{2(3 - \hat{\eta}^2)} \cos(2\phi_\tau) - k^2 \frac{9\hat{\eta}(1 - \hat{\eta}^2)}{16(3 - \hat{\eta}^2)^2} \cos(3\phi_\tau) + k \frac{3\hat{\eta}^2}{2(3 - \hat{\eta}^2)} \right) + \mathcal{O}(k^3)$$

$$\langle \gamma \rangle = \frac{1}{\sqrt{1 - \hat{\eta}^2}}$$

- Higher harmonics (odd and even)
- Amplitude modification is second order effect
- Constant shift in transverse direction



# Trajectory



Transverse shift caused by  $\mathbf{v} \times \mathbf{B}$ -force:  $B_z = k\omega_p^2\eta + \mathcal{O}(k^2)$

# Dispersion relation

$$\begin{aligned}\frac{\omega_p^2}{\langle \gamma \rangle} &= \omega^2 - k^2 \frac{\hat{\eta}^4 - 6\hat{\eta}^2 + \frac{9}{2}}{(3 - \hat{\eta}^2)^2} + \mathcal{O}(k^3) \\ &= \omega^2 - k^2 \underbrace{\left( 1 - \frac{9}{2(3 - \hat{\eta}^2)^2} \right)}_{\substack{\rightarrow +\frac{1}{2} \quad (\langle \gamma \rangle \rightarrow 1) \\ \rightarrow -\frac{1}{8} \quad (\langle \gamma \rangle \rightarrow \infty)}} + \mathcal{O}(k^3)\end{aligned}$$

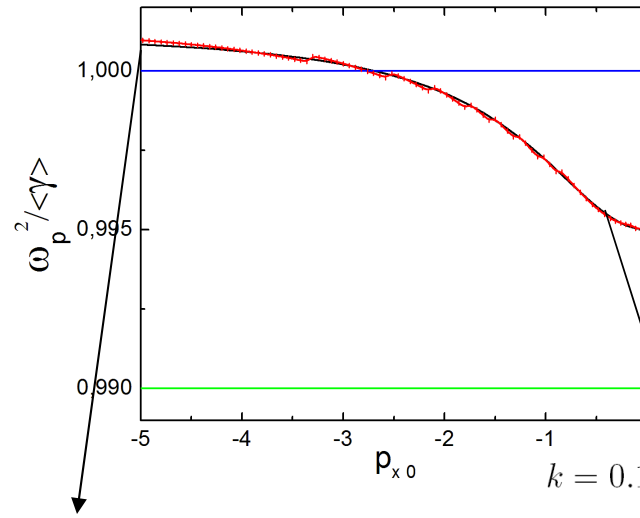
Difference between **linear** and **circular polarization**.

$$\frac{\omega_p^2}{\langle \gamma \rangle} = \omega^2 - k^2$$

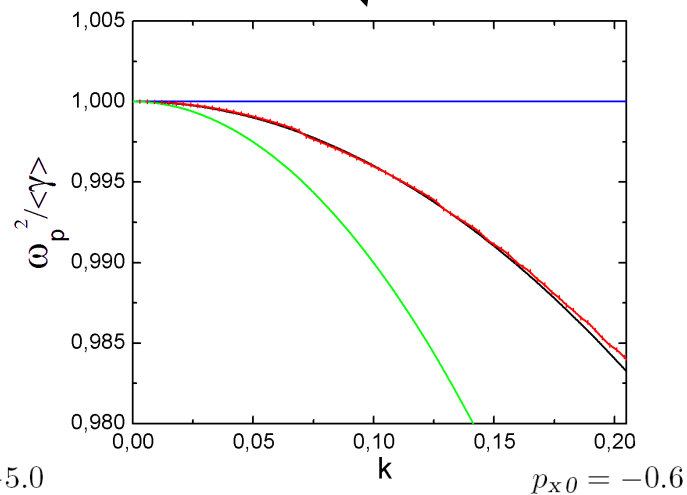
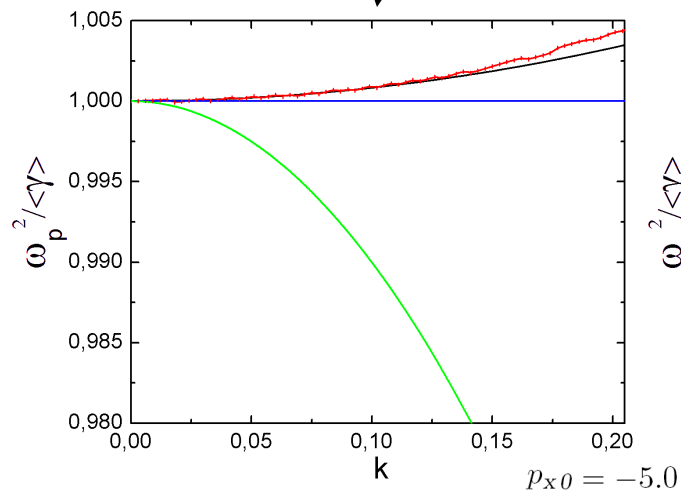
circular polarization

# Runge-Kutta-Integration

## Dispersion relation



- Numeric solution (exact)
- Analytic solution  $\mathcal{O}(k^2)$
- Analytic solution  $\mathcal{O}(k^0)$
- Circular polarization



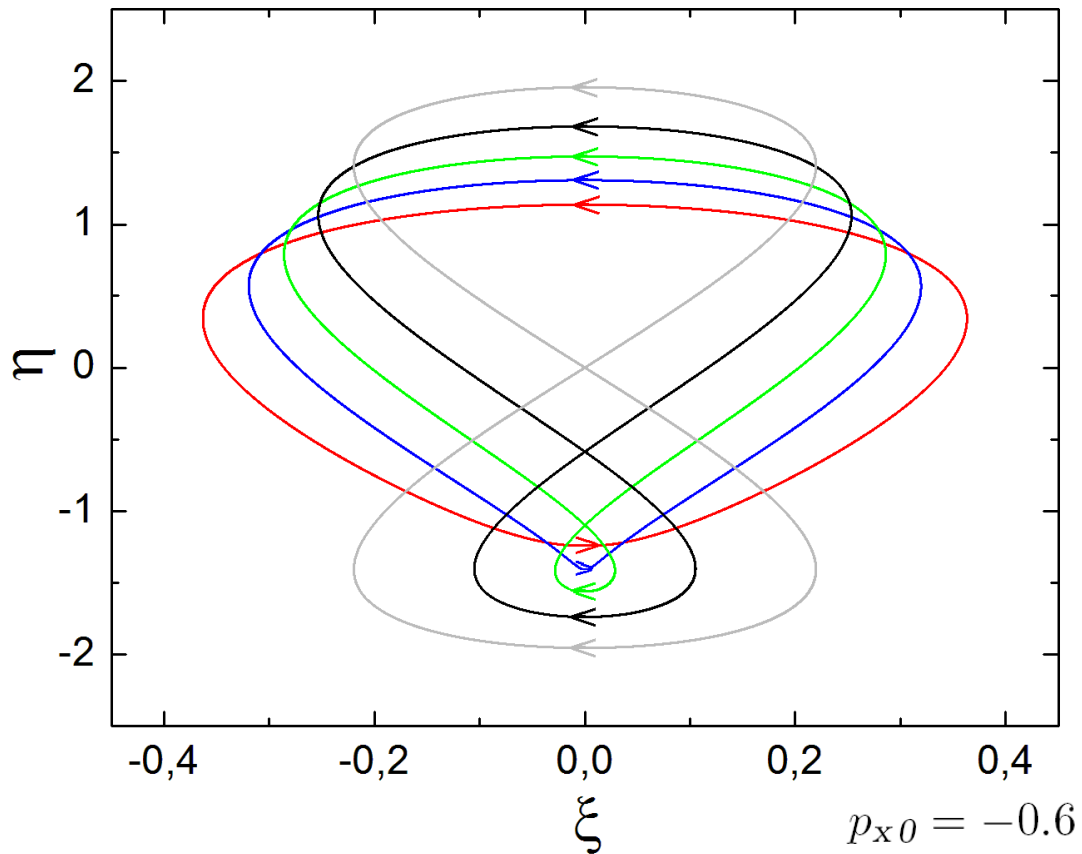
# Intermediate plasma density

Transition between **eight-like** and **circle-like** trajectories.

Hard to handle analytically - no expansion possible.

→ Numerical treatment (Runge-Kutta-Integration):  $k=0...1$  ( $\frac{\omega_p^2}{\langle \gamma \rangle} = 1...0$ )

# Transition



- $k=0.38$  - distorted circle
- $k=0.4$
- $k=0.41$
- $k=0.415$
- $k=0.42$  - figure eight

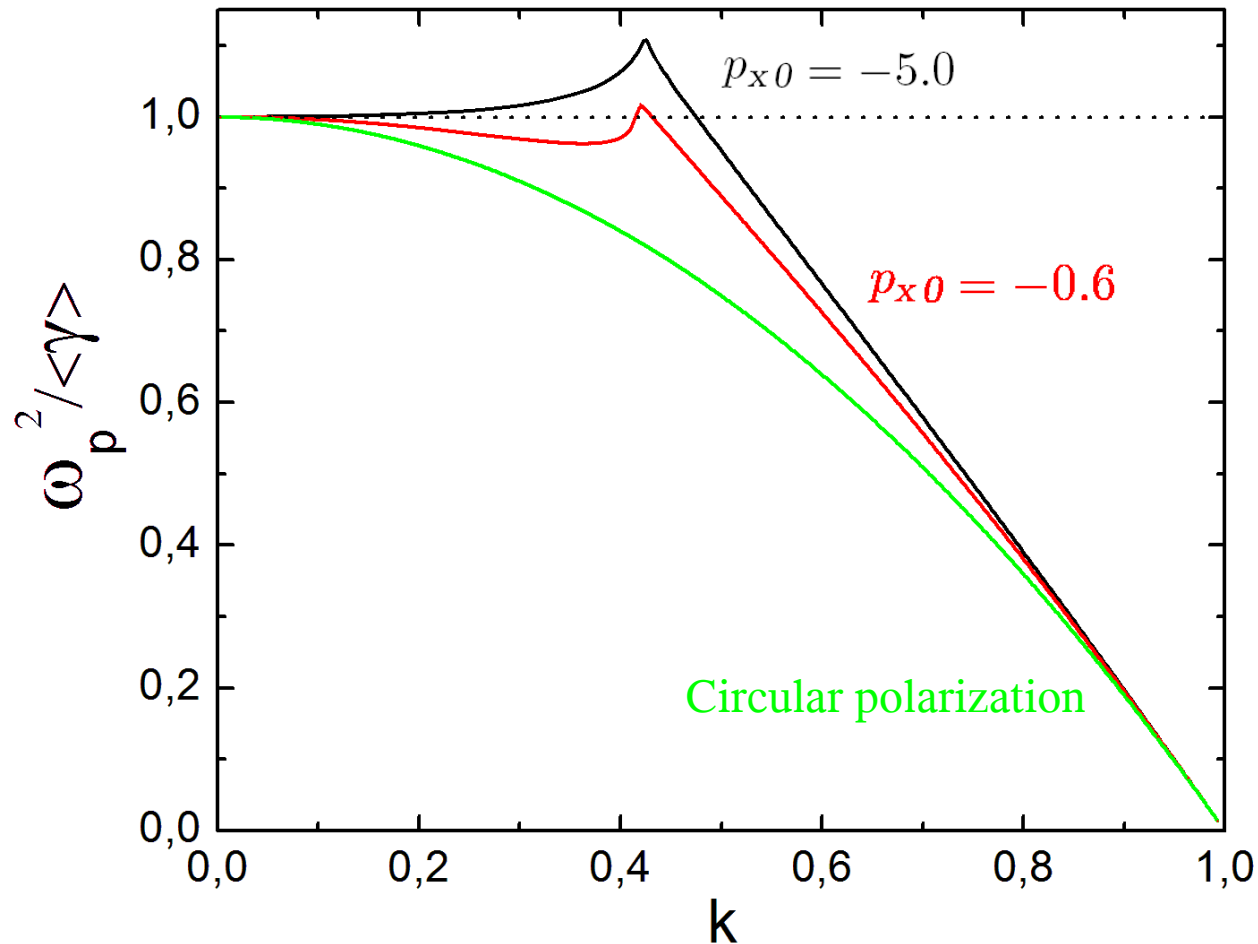
# Summary

- Simple set of differential equations (in proper time).
- Periodic solutions.
- Effective plasma frequency is  $\frac{\omega_p^2}{\langle \gamma \rangle}$ .
- Small plasma densities:  
Eight-like trajectories with higher harmonics  $-\eta$  odd,  $\xi$  even.
- Critical plasma density:  
Circle-like trajectories with shift and higher harmonics  $-\eta, \xi$  odd and even.
- Transition between circle-like and eight-like trajectories.
- Dispersion relation for linear polarization (density bunching) differs from that for circular polarization.



# Transition

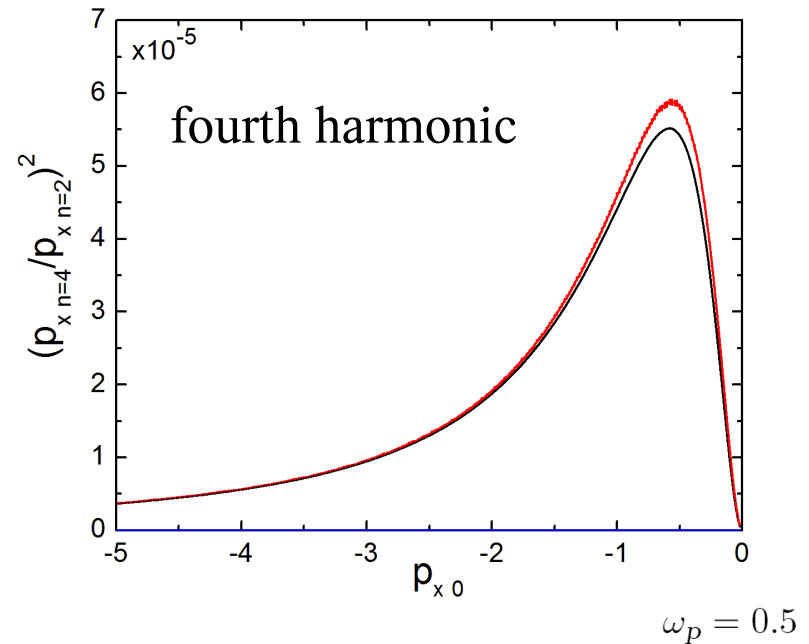
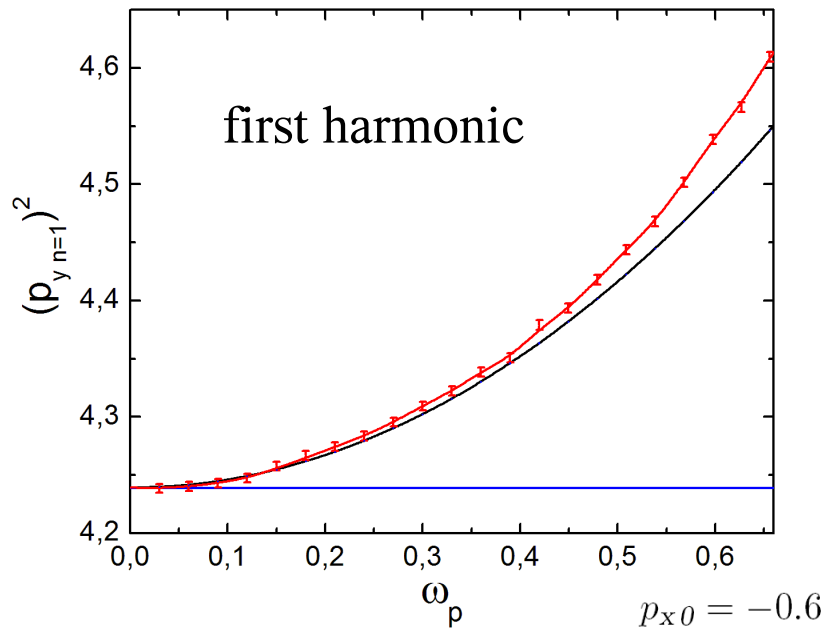
## Dispersion relation





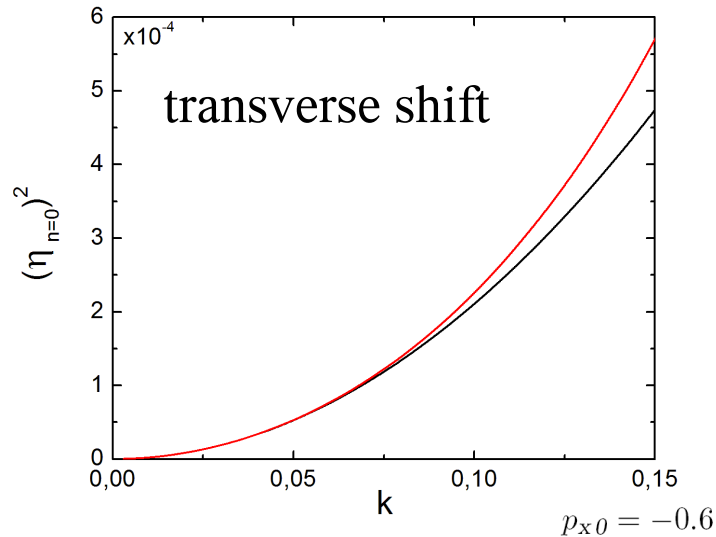
# Runge-Kutta-Integration

## Amplitudes



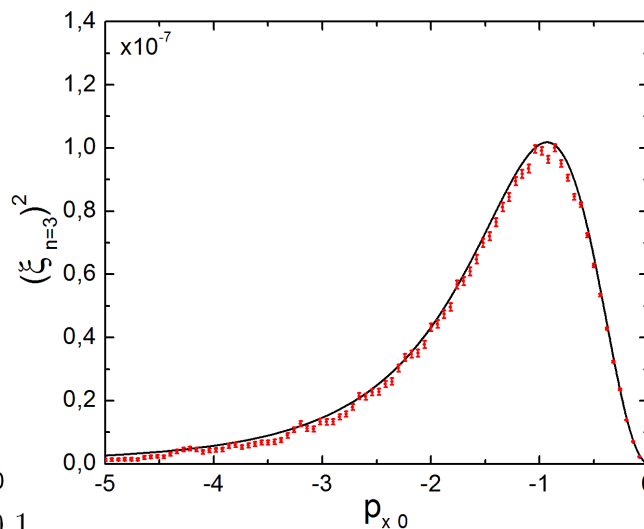
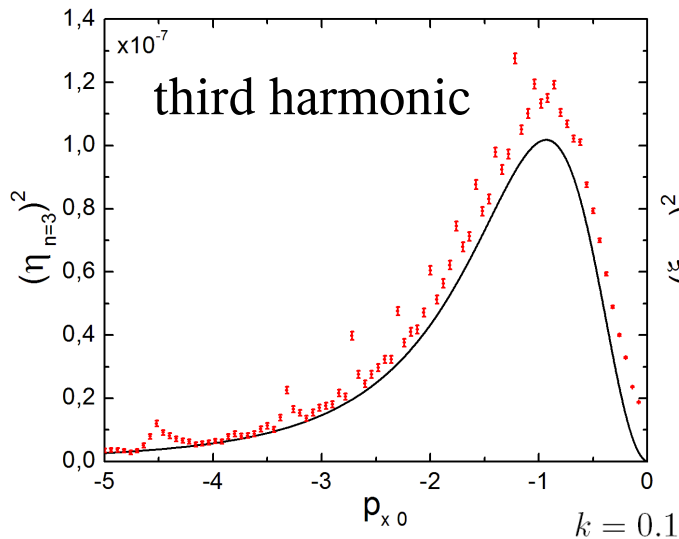
- Numeric solution (exact)
- Analytic solution  $\mathcal{O}(\omega_p^2)$
- Analytic solution  $\mathcal{O}(\omega_p^0)$  - Vacuum

# Runge-Kutta-Integration

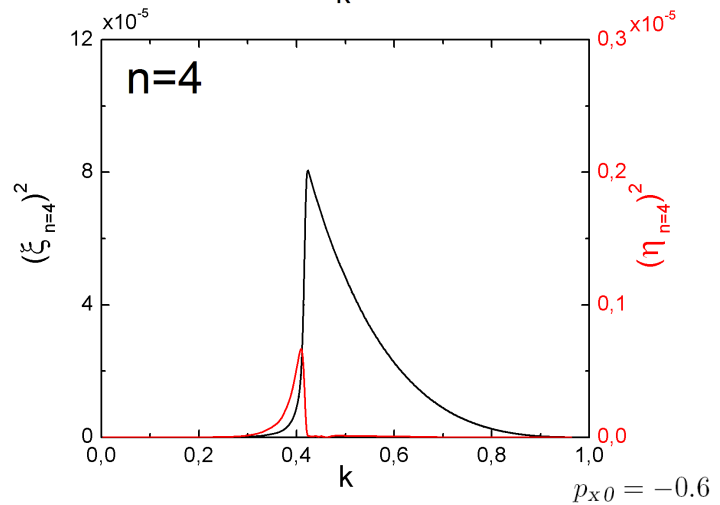
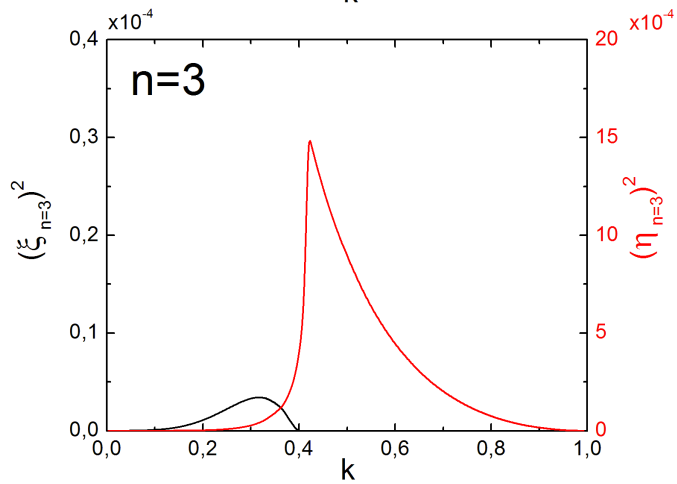
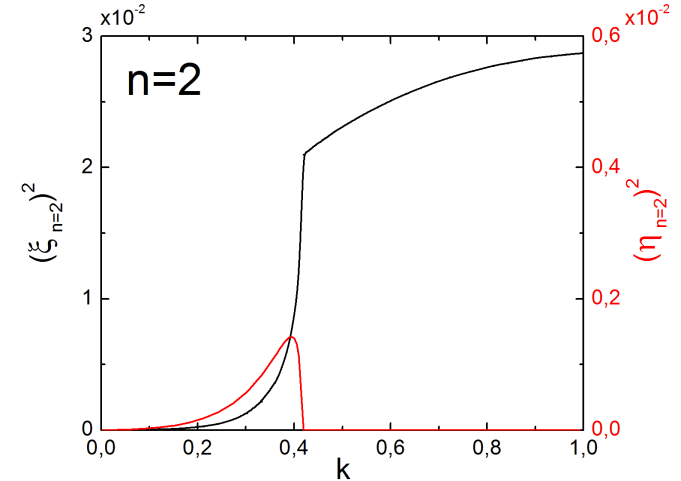
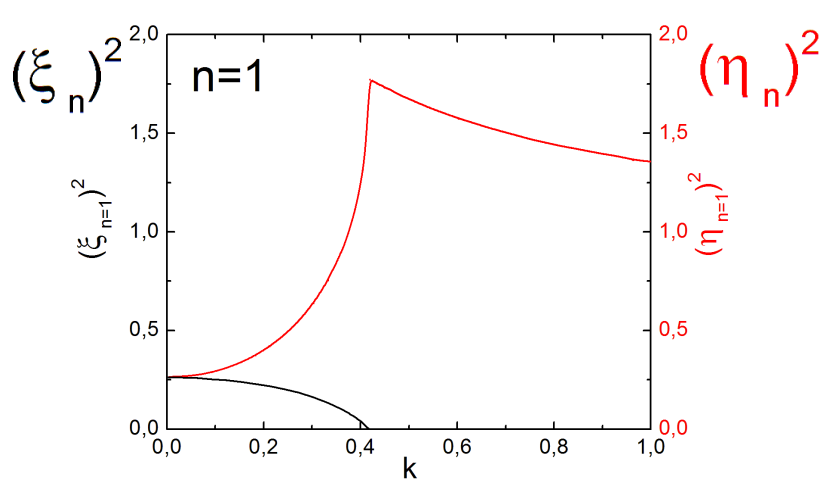


## Amplitudes

- Numeric solution (exact)
- Analytic solution  $\mathcal{O}(k^2)$



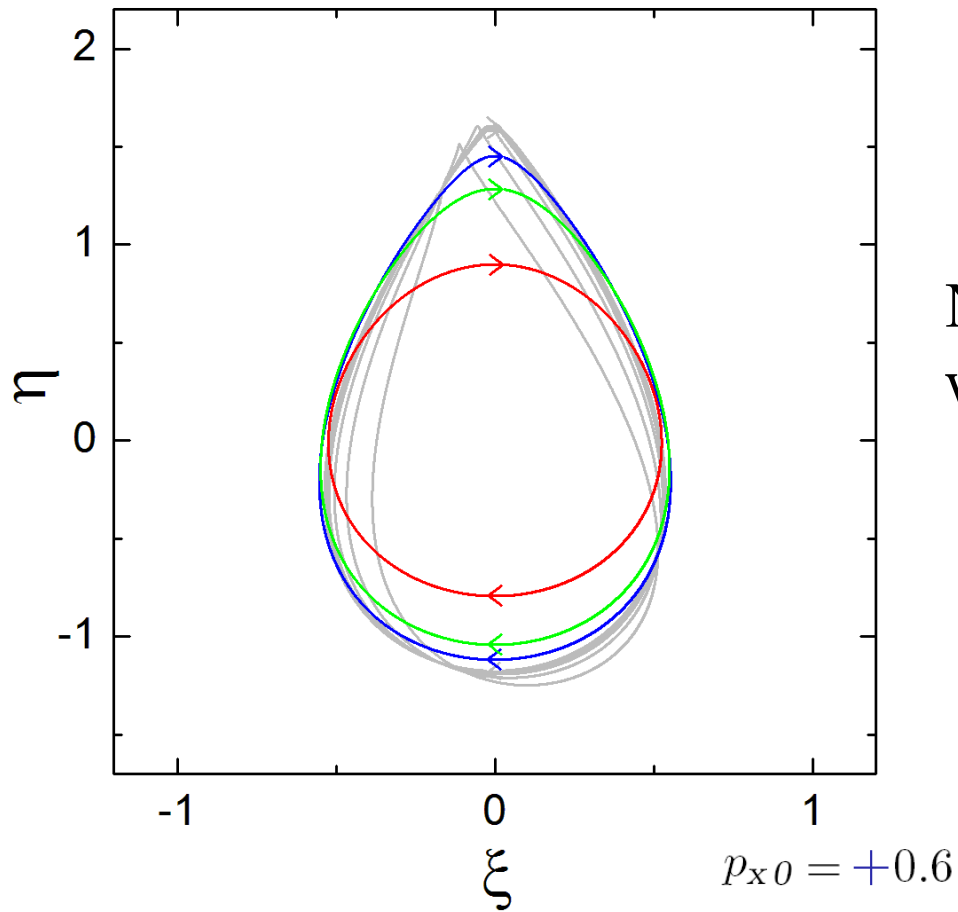
# Transition



odd harmonics

even harmonics

# Transition



No transition

No **periodic eight-like** trajectory  
with  $p_{x0} = +0.6$

# Even higher Orders

$$\xi_{n=6} = -\frac{3}{16} \frac{\omega_p^4}{\langle \gamma \rangle^2} \frac{1}{8^4} \hat{\eta}^6 \sin(6\phi_\tau)$$

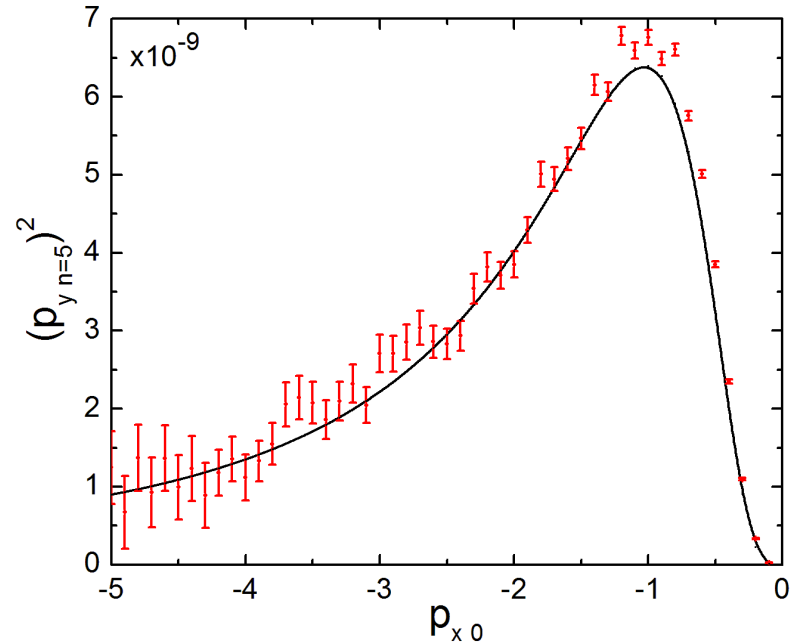
$$\eta_{n=5} = \frac{23}{32} \frac{\omega_p^4}{\langle \gamma \rangle^2} \frac{1}{8^4} \hat{\eta}^5 \cos(5\phi_\tau)$$

→ Scaling law:

$$\xi_{2n+2} \sim -\frac{1}{8} \hat{\eta}^2 \left( -\frac{\omega_p^2}{\langle \gamma \rangle} \frac{1}{8^2} \hat{\eta}^2 \right)^n \sin((2n+2)\phi_\tau)$$

$$\eta_{2n+1} \sim \hat{\eta} \left( -\frac{\omega_p^2}{\langle \gamma \rangle} \frac{1}{8^2} \hat{\eta}^2 \right)^n \cos((2n+1)\phi_\tau)$$

← Sprangle et al.



# System of units

- Dimensionless expressions:

$$t' = \omega t; \quad \mathbf{x}' = \frac{\omega}{c} \mathbf{x}; \quad \mathbf{v}' = \frac{1}{c} \mathbf{v}; \quad \mathbf{p}' = \frac{1}{mc} \mathbf{p}$$

$$q' = \frac{1}{e} q; \quad n' = \frac{1}{n_0} n; \quad \mathbf{E}' = \frac{e}{m\omega c} \mathbf{E}; \quad \mathbf{B}' = \frac{e}{m\omega c} \mathbf{B}$$

- Phase:  $\phi' = t' - \frac{1}{v'_{\text{ph}}} x'$   $v_{\text{ph}} = \frac{\omega}{k}$

- CGS-System